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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF: Joseph G. GATTO
SERIAL No.: 09/893,460
ATTORNEY DOCKET No.: 089070-0311366 (23449-013)
FILING DATE: June 29, 2001
ART UNIT: 3624
EXAMINER: NARAYANSWAMY SUBRAMANIAN
FOR: SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

JUL 27 2006
US PATENT & TRADEMARK OFFICE

REQUEST FOR REFUND
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

I. REFUND REQUEST

This is a request for a refund with respect to the charge to Deposit Account 033975 on February 27, 2006 for the above-identified application.

II. FEES CHARGED FOR WHICH REFUND REQUESTED

Amount of Refund Requested:

Appellant's Brief on Appeal

\$250.00

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

Applicant is a small entity and the fees charged by the USPTO were at the large entity rate. A copy of the Monthly Statement of Deposit Account is attached.


IV. MANNER OF REFUND

Please make refund by crediting Account No. 033975.

Date: July 26, 2006

Respectfully submitted,

By:


Bradford C. Blaise
Registration No. 47,429

PILLSBURY WINTHROP SHAW PITTMAN LLP
P.O. Box 10500
McLean, Virginia 22102
Direct Dial: 703-770-7741
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UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
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MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

PILLSBURY WINTHROP SHAW PITTMAN LLP. FINA
ROBERTA MILES
1600 TYSONS BOULEVARD
MCLEAN VA 22102

Account No.	033975
Date	2-28-06
Page	30

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PLEASE SEND REMITTANCES TO:
U. S. Patent and Trademark Office
P.O. Box 70541
Chicago, IL 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
2	24	06	330	11027992	VTX0325	8007	20.00	214551.00
2	24	06	389	10547099	1S10008-US	1617	130.00	214421.00
2	24	06	430	10291563	081468-0300239	1615	50.00	214371.00
2	24	06	431	10291563	081468-0300239	1614	400.00	213971.00
2	24	06	432	10291563	081468-0300239	1251	120.00	213851.00
2	24	06	443	10195990	17646-088002	1501	1400.00	212451.00
2	24	06	1162	11029642		8021	40.00	212411.00
2	24	06	1487	78381113	44984/316846	7004	150.00	212261.00
2	27	06	8	E-REPLENISHMENT		9203	-19197.00	231458.00
2	27	06	9	E-REPLENISHMENT		9203	-9394.00	240852.00
2	27	06	40	10227527	P 291267 PC0	1251	120.00	240732.00
2	27	06	46	09893460	23449-013	1402	500.00	240232.00
2	27	06	48	11081308	022904-0315315	8021	40.00	240192.00
2	27	06	59	11357093	081468-0325760	8021	40.00	240152.00
2	27	06	60	10540548	016374-0316502	1617	130.00	240022.00
2	27	06	61	10540548	016374-0316502	1251	120.00	239902.00
2	27	06	62	10540548	016374-0316502	8021	40.00	239862.00
2	27	06	69	2325237		6205	100.00	239762.00
2	27	06	69	11357808	014926-0325909	8021	40.00	239722.00
2	27	06	70	2325237		6208	200.00	239522.00
2	27	06	74	11360401	081648-0325806	1011	300.00	239222.00
2	27	06	75	11360401	081648-0325806	1111	500.00	238722.00
2	27	06	76	11360401	081648-0325806	1311	200.00	238522.00
2	27	06	109	PCT/US06/05881	023503-0325889	1601	300.00	238222.00
2	27	06	110	PCT/US06/05881	023503-0325889	1602	1000.00	237222.00
2	27	06	111	PCT/US06/05881	023503-0325889	1702	1086.00	236136.00
2	27	06	112	PCT/US06/05881	023503-0325889	1703	336.00	235800.00
2	27	06	113	PCT/US06/05881	023503-0325889	8007	20.00	235780.00
2	27	06	115	10671575	402800/PALMO	1806	180.00	235600.00
2	27	06	127	10121715	KIX0171-US	1251	120.00	235480.00
2	27	06	140	10388165	011765-0302384	2251	60.00	235420.00
2	27	06	159	10181274	0318513 SS 02/286	2501	700.00	234720.00
2	27	06	160	10181274	0318513 SS 02/286	1504	300.00	234420.00
2	27	06	161	10181274	0318513 SS 02/286	8001	15.00	234405.00
2	27	06	200	10568872	011765-0325708	1631	300.00	234105.00
2	27	06	201	10568872	011765-0325708	1632	500.00	233605.00
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE

APPELLANT'S BRIEF ON APPEAL UNDER 37 C.F.R. §41.37
U.S. Application Serial No. 09/893,460
Attorney Docket No. 089070-0311366 (23449-013)

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IN RE PATENT Joseph G. GATTO
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Mail Stop Appeal Brief - Patents

Commissioner for Patents
P.O. Box 1450
Alexandria, VA. 22313-1450

Dear Sir:

Further to the Notice of Appeal filed on November 29, 2005 and the "Notice of Panel Decision from Pre-Appeal Brief Review" mailed January 30, 2006, Appellant respectfully submits Appellant's Brief on Appeal pursuant to 37 C.F.R. §41.37.

The Director is authorized to charge the \$250.00 fee for filing an Appeal Brief pursuant to 37 C.F.R. §41.20(b)(2) along with any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 033975 (Ref. No. 089070-0311366).

Adjustment Date: 08/18/2006
02/27/2006 SZEWDIE1 00000030 033975 09893460
01 FC:1402 500.00 CR

02/27/2006 SZEWDIE1 00000030 033975 09893460
01 FC:1402 500.00 DA

08/18/2006 SDIRETA1 00000015 033975 09893460
01 FC:2402 250.00 DA